

Figure 3: Change in relative risk with increasing distance and absolute risk with increasing distance Meta-regression of change in relative risk with increasing distance from an infected individual (A). Absolute risk of transmission from an individual infected with SARS-CoV-2, SARS-CoV, or MERS-CoV with varying baseline risk and increasing distance (B). SARS-CoV-2=severe acute respiratory syndrome coronavirus. MERS-CoV=Middle East respiratory syndrome coronavirus.

29 unadjusted and studies, 35-37,39,49,43,44,46,47,50-54,56,57,59-66,68,69,71,73.76 a strong association was found of proximity of the exposed individual with the risk of infection (unadjusted n=10736, RR 0.30, 95% CI 0 · 20 to 0 · 44; adjusted n=7782, aOR 0 · 18, 95% CI 0.09 to 0.38; absolute risk [AR] 12.8% with shorter distance vs 2.6% with further distance, risk difference [RD]  $-10 \cdot 2\%$ , 95% CI  $-11 \cdot 5$  to  $-7 \cdot 5$ ; moderate certainty; figure 2; table 2; appendix p 16). Although there were six studies on COVID-19, the association was seen irrespective of causative virus (p<sub>interaction</sub>=0·49), health-care setting versus non-health-care setting ( $p_{interaction}$ =0.14), and by type of face mask ( $p_{interaction}$ =0 · 95; appendix pp 17, 19). However, different studies used different distances for the intervention. By meta-regression, the strength of association was larger with increasing distance (2·02 change in RR per m, 95% CI 1·08 to  $3\cdot76$ ;  $p_{interaction}$ =0·041; moderate credibility subgroup effect; figure 3A; table 2). AR values with increasing distance given different degrees of baseline risk are shown in figure 3B, with potential values at 3 m also shown.

Across 29 unadjusted studies and ten adjusted studies, 34,37,41-45,47-51,53-56,58-61,64-70,72,74,75 the use of both N95 or similar respirators or face masks (eg, disposable surgical masks or similar reusable 12-16-layer cotton masks) by those exposed to infected individuals was associated with a large reduction in risk of infection (unadjusted n=10170, RR 0.34, 95% CI 0.26 to 0.45; adjusted studies n=2647, aOR 0.15, 95% CI 0.07 to 0.34; AR 3.1% with face mask vs 17.4% with no face mask, RD -14.3%, 95% CI -15.9 to -10.7; low certainty; figure 4; table 2; appendix pp 16, 18) with stronger associations in healthcare settings (RR 0.30, 95% CI 0.22 to 0.41) compared with non-health-care settings (RR 0.56, 95% CI 0.40 to 0.79;  $p_{interaction}$ =0.049; low-to-moderate credibility for subgroup effect; figure 4; appendix p 19). When differential N95 or similar respirator use, which was more frequent in health-care settings than in nonhealth-care settings, was adjusted for the possibility that face masks were less effective in non-health-care settings, the subgroup effect was slightly less credible (pinteraction=0.11, adjusted for differential respirator use; figure 4). Indeed, the association with protection from infection was more pronounced with N95 or similar respirators (aOR 0.04, 95% CI 0.004 to 0.30) compared with other masks (aOR 0.33, 95% CI 0.17 to 0.61; p<sub>interaction</sub>=0.090; moderate credibility subgroup effect; figure 5). The interaction was also seen when additionally adjusting for three studies that clearly reported aerosol-generating procedures (p<sub>interaction</sub>=0.048; figure 5). Supportive evidence for this interaction was also seen in within-study comparisons (eg, N95 had a stronger protective association compared with surgical masks or 12-16-layer cotton masks); both N95 and surgical masks also had a stronger association with protection versus single-layer masks. 38,39,51,53,54,61,66,67,75

We did a sensitivity analysis to test the robustness of our findings and to integrate all available information on face mask treatment effects for protection from COVID-19. We reconsidered our findings using random-effects Bayesian meta-analysis. Although noninformative priors showed similar results to frequentist approaches (aOR 0.16, 95% CrI 0.04-0.40), even using informative priors from the most recent meta-analysis on the effectiveness of masks versus no masks to prevent influenza-like illness (RR 0.93, 95% CI 0.83-1.05)31 yielded a significant association with protection from COVID-19 (aOR 0-40, 95% CrI 0.16-0.97; posterior probability for RR <1, 98%). Minimally informing (25% influence with or without four-fold smaller mean effect size) the most recent and rigorous meta-analysis of the effectiveness of N95

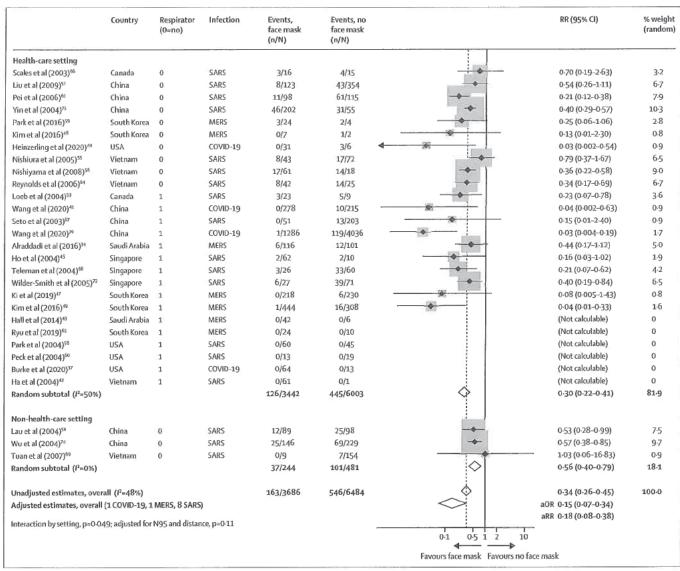


Figure 4: Forest plot showing unadjusted estimates for the association of face mask use with viral infection causing COVID-19, SARS, or MERS SARS=severe acute respiratory syndrome. MERS=Middle East respiratory syndrome. RR=relative risk. aOR=adjusted odds ratio. aRR=adjusted relative risk.

respirators versus medical masks in randomised trials (OR 0.76, 95% CI 0.54–1.06)<sup>13</sup> with the effect-modification seen in this meta-analysis on COVID-19 (ratio of aORs 0.14, 95% CI 0.02–1.05) continued to support a stronger association of protection from COVID-19, SARS, or MERS with N95 or similar respirators versus other face masks (posterior probability for RR <1, 100% and 95%, respectively).

In 13 unadjusted studies and two adjusted studies,  $^{34.37.99,77.40,51,54.58,60.61,65.75}$  eye protection was associated with lower risk of infection (unadjusted n=3713, RR 0·34, 95% CI 0·22 to 0·52; AR 5·5% with eye protection vs 16·0% with no eye protection, RD -10·6%, 95% CI -12·5 to -7·7; adjusted n=701, aOR 0·22,

95% CI 0.12 to 0.39; low certainty; figure 6; table 2; appendix pp 16–17).

Across 24 studies in health-care and non-health-care settings during the current pandemic of COVID-19, previous epidemics of SARS and MERS, or in general use, looking at contextual factors to consider in recommendations, most stakeholders found physical distancing and use of face masks and eye protection acceptable, feasible, and reassuring (appendix pp 20–22). However, challenges included frequent discomfort, high resource use linked with potentially decreased equity, less clear communication, and perceived reduced empathy of care providers by those they were caring for.

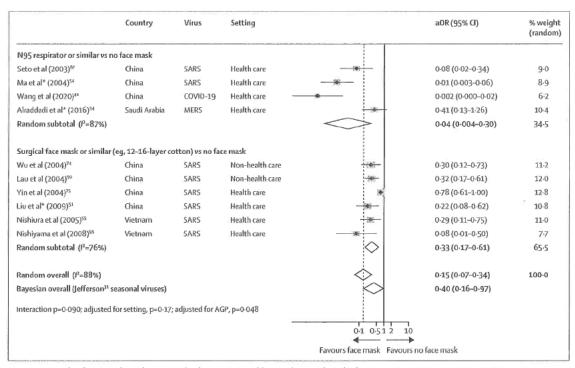


Figure 5: Forest plot showing adjusted estimates for the association of face mask use with viral infection causing COVID-19, SARS, or MERS SARS=severe acute respiratory syndrome. MERS=Middle East respiratory syndrome. RR=relative risk. aOR=adjusted odds ratio. AGP=aerosol-generating procedures. \*Studies clearly reporting AGP.

#### Discussion

The findings of this systematic review of 172 studies (44 comparative studies; n=25 697 patients) on COVID-19, SARS, and MERS provide the best available evidence that current policies of at least 1 m physical distancing are associated with a large reduction in infection, and distances of 2 m might be more effective. These data also suggest that wearing face masks protects people (both health-care workers and the general public) against infection by these coronaviruses, and that eye protection could confer additional benefit. However, none of these interventions afforded complete protection from infection, and their optimum role might need risk assessment and several contextual considerations. No randomised trials were identified for these interventions in COVID-19, SARS, or MERS.

Previous reviews are limited in that they either have not provided any evidence from COVID-19 or did not use direct evidence from other related emerging epidemic betacoronaviruses (eg, SARS and MERS) to inform the effects of interventions to curtail the current COVID-19 pandemic. 13,19,11,78 Previous data from randomised trials are mainly for common respiratory viruses such as seasonal influenza, with a systematic review concluding low certainty of evidence for extrapolating these findings to COVID-19.13 Further, previous syntheses of available randomised controlled trials have not accounted for cluster effects in analyses, leading to substantial

imprecision in treatment effect estimates. In betweenstudy and within-study comparisons, we noted a larger effect of N95 or similar respirators compared with other masks. This finding is inconsistent with conclusions of a review of four randomised trials,13 in which low certainty of evidence for no larger effect was suggested. However, in that review, the CIs were wide so a meaningful protective effect could not be excluded. We harmonised these findings with Bayesian approaches, using indirect data from randomised trials to inform posterior estimates. Despite this step, our findings continued to support the ideas not only that masks in general are associated with a large reduction in risk of infection from SARS-CoV-2, SARS-CoV, and MERS-CoV but also that N95 or similar respirators might be associated with a larger degree of protection from viral infection than disposable medical masks or reusable multilayer (12-16-layer) cotton masks. Nevertheless, in view of the limitations of these data, we did not rate the certainty of effect as high.21 Our findings accord with those of a cluster randomised trial showing a potential benefit of continuous N95 respirator use over medical masks against seasonal viral infections.79 Further high-quality research, including randomised trials of the optimum physical distance and the effectiveness of different types of masks in the general population and for health-care workers' protection, is urgently needed. Two trials are registered to better inform the optimum use of face masks for COVID-19 (NCT04296643 [n=576] and

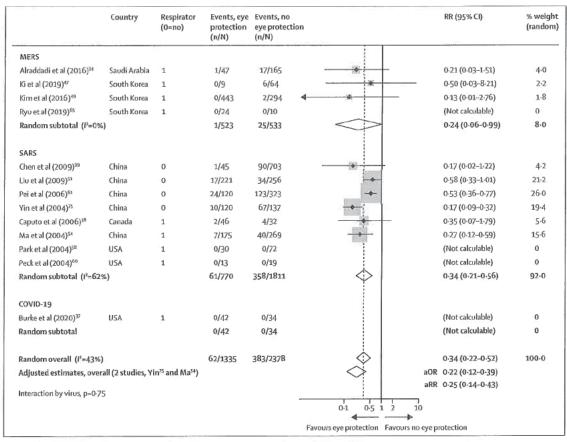


Figure 6: Forest plot showing the association of eye protection with risk of COVID-19, SARS, or MERS transmission
Forest plot shows unadjusted estimates. SARS=severe acute respiratory syndrome. MERS=Middle East respiratory syndrome. RR=relative risk. aOR=adjusted odds ratio.
aRR=adjusted relative risk.

NCT04337541 [n=6000]). Until such data are available, our findings represent the current best estimates to inform face mask use to reduce infection from COVID-19. We recognise that there are strong, perhaps opposing, sentiments about policy making during outbreaks. In one viewpoint, the 2007 SARS Commission report stated:

- "...recognize, as an aspect of health worker safety, the precautionary principle that reasonable action to reduce risk, such as the use of a fitted N95 respirator, need not await scientific certainty".
- "...if we do not learn from SARS and we do not make the government fix the problems that remain, we will pay a terrible price in the next pandemic"."

A counter viewpoint is that the scientific uncertainty and contextual considerations require a more nuanced approach. Although challenging, policy makers must carefully consider these two viewpoints along with our findings.

We found evidence of moderate certainty that current policies of at least 1 m physical distancing are probably associated with a large reduction in infection, and that distances of 2 m might be more effective, as implemented in some countries. We also provide estimates for 3 m. The main benefit of physical distancing measures is to prevent onward transmission and, thereby, reduce the adverse outcomes of SARS-CoV-2 infection. Hence, the results of our current review support the implementation of a policy of physical distancing of at least 1 m and, if feasible, 2 m or more. Our findings also provide robust estimates to inform models and contact tracing used to plan and strategise for pandemic response efforts at multiple levels.

The use of face masks was protective for both healthcare workers and people in the community exposed to infection, with both the frequentist and Bayesian analyses lending support to face mask use irrespective of setting. Our unadjusted analyses might, at first impression, suggest use of face masks in the community setting to be less effective than in the health-care setting, but after accounting for differential N95 respirator use between health-care and non-health-care settings, we did not detect any striking differences in effectiveness of face mask use between settings. The credibility of effectmodification across settings was, therefore, low. Wearing face masks was also acceptable and feasible. Policy makers at all levels should, therefore, strive to address equity implications for groups with currently limited access to face masks and eye protection. One concern is that face mask use en masse could divert supplies from people at highest risk for infection.10 Health-care workers are increasingly being asked to ration and reuse PPE,82,83 leading to calls for government-directed repurposing of manufacturing capacity to overcome mask shortages84 and finding solutions for mask use by the general public.84 In this respect, some of the masks studied in our review were reusable 12-16-layer cotton or gauze masks.  $^{51,54,61,75}$  At the moment, although there is consensus that SARS-CoV-2 mainly spreads through large droplets and contact, debate continues about the role of aerosol,<sup>2-8,85,86</sup> but our meta-analysis provides evidence (albeit of low certainty) that respirators might have a stronger protective effect than surgical masks. Biological plausibility would be supported by data for aerosolised SARS-CoV-25-8 and preclinical data showing seasonal coronavirus RNA detection in fine aerosols during tidal breathing,87 albeit, RNA detection does not necessarily imply replication and infection-competent virus. Nevertheless, our findings suggest it plausible that even in the absence of aerosolisation, respirators might be simply more effective than masks at preventing infection. At present, there is no data to support viable virus in the air outside of aerosol generating procedures from available hospital studies. Other factors such as super-spreading events, the subtype of health-care setting (eg, emergency room, intensive care unit, medical wards, dialysis centre), if aerosolising procedures are done, and environmental factors such as ventilation, might all affect the degree of protection afforded by personal protection strategies, but we did not identify robust data to inform these aspects.

Strengths of our review include adherence to full systematic review methods, which included artificial intelligence-supported dual screening of titles and abstracts, full-text evaluation, assessment of risk of bias, and no limitation by language. We included patients infected with SARS-CoV-2, SARS-CoV, or MERS-CoV and searched relevant data up to May 3, 2020. We followed the GRADE approach<sup>16</sup> to rate the certainty of evidence. Finally, we identified and appraise a large body of published work from China, from which much evidence emerged before the pandemic spread to other global regions.

The primary limitation of our study is that all studies were non-randomised, not always fully adjusted, and might suffer from recall and measurement bias (eg, direct contact in some studies might not be measuring near distance). However, unadjusted, adjusted, frequentist, and Bayesian meta-analyses all supported the main findings, and large or very large effects were recorded. Nevertheless, we are cautious not to be overly certain in the precise

quantitative estimates of effects, although the qualitative effect and direction is probably of high certainty. Many studies did not provide information on precise distances, and direct contact was equated to 0 m distance; none of the eligible studies quantitatively evaluated whether distances of more than 2 m were more effective, although our metaregression provides potential predictions for estimates of risk. Few studies assessed the effect of interventions in non-health-care settings, and they primarily evaluated mask use in households or contacts of cases, although beneficial associations were seen across settings. Furthermore, most evidence was from studies that reported on SARS and MERS (n=6674 patients with COVID-19, of 25697 total), but data from these previous epidemics provide the most direct information for COVID-19 currently. We did not specifically assess the effect of duration of exposure on risk for transmission, although whether or not this variable was judged a risk factor considerably varied across studies, from any duration to a minimum of 1 h. Because of inconsistent reporting, information is limited about whether aerosolgenerating procedures were in place in studies using respirators, and whether masks worn by infected patients might alter the effectiveness of each intervention, although the stronger association with N95 or similar respirators over other masks persisted when adjusting for studies reporting aerosol-generating medical procedures. These factors might account for some of the residual statistical heterogeneity seen for some outcomes, albeit I2 is commonly inflated in meta-analyses of observational data, 21,22 and nevertheless the effects seen were large and probably clinically important in all adjusted studies.

Our comprehensive systematic review provides the best available information on three simple and common interventions to combat the immediate threat of COVID-19, while new evidence on pharmacological treatments, vaccines, and other personal protective strategies is being generated. Physical distancing of at least 1 m is strongly associated with protection, but distances of up to 2 m might be more effective. Although direct evidence is limited, the optimum use of face masks, in particular N95 or similar respirators in health-care settings and 12-16-layer cotton or surgical masks in the community, could depend on contextual factors; action is needed at all levels to address the paucity of better evidence. Eye protection might provide additional benefits. Globally collaborative and well conducted studies, including randomised trials, of different personal protective strategies are needed regardless of the challenges, but this systematic appraisal of currently best available evidence could be considered to inform interim guidance.

#### Contributors

DKC, EAA, SD, KS, SY, and HJS designed the study. SY, SD, KS, and HJS coordinated the study. SY and LH designed and ran the literature search. All authors acquired data, screened records, extracted data, and assessed risk of bias. DKC did statistical analyses. DKC and HJS wrote the report. All authors provided critical conceptual input, analysed and interpreted data, and critically revised the report.

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#### Declaration of interests

ML is an investigator of an ongoing clinical trial on medical masks versus N95 respirators for COVID-19 (NCT04296643). All other authors declare no competing interests.

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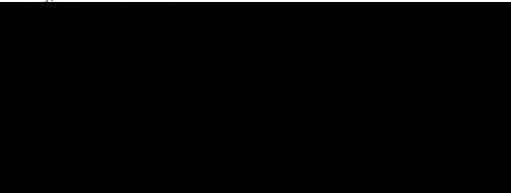
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#### Pond, Aleks (Health)

From:
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AHPPC Secretariat

Sunday, 7 June 2020 4:20 PM



Subject: Attachments:

ACTION - AHPPC meeting papers for Monday 8 June [SEC=OFFICIAL]
20.06.08 AHPPC Emergency TC COVID19 Agenda.docx; Agenda Item 2 - 20200608

- AHPPC Paper - COVID-19 Principles for Phased Implementation of Stage 3.docx;

Agenda Item 2 - OOS - Stratification of stage 3 of the 3-step framework questions.docx; Agenda Item 3 - 20200608 - DRAFT AHPPC Paper - Physical Distancing and the density rule.docx; Agenda Item 4 - WHO-2019-nCov-

IPC\_Masks-2020.4-eng.pdf

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Dear AHPPC members

Please note the attached papers for the AHPPC meeting on Monday 8 June 2020.

AHPPC papers will be available on GOVTEAMS at the following link:

Agenda	Item	Speaker/s
1	Meeting opening  • Welcome	Chair
2 Paper	Stratification of stage 3 of the 3-step framework	
3 Paper	AHPPC Statement - Density Rule	
4 Paper	WHO - Advice on the use of masks in the context of COVID-19	Chair



Kind regards



## Australian Health Protection Principal Committee (AHPPC) of the Australian Health Ministers' Advisory Council (AHMAC)

Office of Health Protection | Australian Government Department of Health

A: MDP 140, GPO Box 9848, CANBERRA ACT 2601, Australia

I acknowledge the traditional custodians of the lands and waters where we live and work, and pay my respects to elders past and present.

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## Advice on the use of masks in the context of COVID-19

Interim guidance 5 June 2020



This document is an update of the guidance published on 6 April 2020 and includes updated scientific evidence relevant to the use of masks for preventing transmission of Coronavirus disease 2019 (COVID-19) as well as practical considerations. The main differences from the previous version include the following:

- Updated information on transmission from symptomatic, pre-symptomatic and asymptomatic people infected with COVID-19, as well as an update of the evidence of all sections of this document;
- New guidance on the targeted continuous use of medical masks by health workers working in clinical areas in health facilities in geographical areas with community transmission¹ of COVID-19;
- Updated guidance and practical advice for decisionmakers on the use of medical and non-medical masks by the general public using a risk-based approach;
- New guidance on non-medical mask features and characteristics, including choice of fabric, number and combination of layers, shape, coating and maintenance.

Guidance and recommendations included in this document are based on previous WHO guidelines (in particular the WHO Guidelines on infection prevention and control of epidemic- and pandemic-prone acute respiratory infections in health care) (1) and the evaluation of current evidence by the WHO ad hoc COVID-19 IPC Guidance Development Group (COVID-19 IPC GDG) that meets at least once a week. The process of interim guidance development during emergencies consists of a transparent and robust process of evaluation of the available evidence on benefits and harms, synthetized through expedited systematic reviews and expert consensus-building facilitated by methodologists. This process also considers, as much as possible, potential resource implications, values and preferences, feasibility, equity, ethics and research gaps.

#### Purpose of the guidance

This document provides guidance to decision makers, public health and IPC professionals, health care managers, and health workers on the use of medical and non-medical masks in health care (including long-term care and residential) settings, for the general public, and during home care. It will be revised as more data become available.

#### Background

The use of masks is part of a comprehensive package of the prevention and control measures that can limit the spread of certain respiratory viral diseases, including COVID-19. Masks can be used either for protection of healthy persons (worn to protect oneself when in contact with an infected individual) or for source control (worn by an infected individual to prevent onward transmission).

However, the use of a mask alone is insufficient to provide an adequate level of protection or source control, and other personal and community level measures should also be adopted to suppress transmission of respiratory viruses. Whether or not masks are used, compliance with hand hygiene, physical distancing and other infection prevention and control (IPC) measures are critical to prevent human-to-human transmission of COVID-19.

This document provides information and guidance on the use of masks in health care settings, for the general public, and during home care. The World Health Organization (WHO) has developed specific guidance on IPC strategies for health care settings (2), long-term care facilities (LTCF) (3), and home care.(4)

#### **Transmission of COVID-19**

Knowledge about transmission of the COVID-19 virus is accumulating every day. COVID-19 is primarily a respiratory disease and the spectrum of infection with this virus can range from people with very mild, non-respiratory symptoms to severe acute respiratory illness, sepsis with organ dysfunction and death. Some people infected have reported no symptoms at all.

According to the current evidence, COVID-19 virus is primarily transmitted between people via respiratory droplets and contact routes. Droplet transmission occurs when a person is in close contact (within 1 metre) with an infected person and exposure to potentially infective respiratory droplets occurs, for example, through coughing, sneezing or very close personal contact resulting in the inoculation of entry portals such as the mouth, nose or conjunctivae

surveillance; and/or multiple unrelated clusters in several areas of the country/territory/area" (https://www.who.int/publications-detail/global-surveillance-for-covid-19-caused-by-human-infection-with-covid-19-virus-interim-guidance)

<sup>&</sup>lt;sup>1</sup> Defined by WHO as "experiencing larger outbreaks of local transmission defined through an assessment of factors including, but not limited to: large numbers of cases not linkable to transmission chains; large numbers of cases from sentinel

(eyes).(5-10) Transmission may also occur through fomites in the immediate environment around the infected person.(11, 12) Therefore, transmission of the COVID-19 virus can occur directly by contact with infected people, or indirectly by contact with surfaces in the immediate environment or with objects used on or by the infected person (e.g., stethoscope or thermometer).

In specific circumstances and settings in which procedures that generate aerosols are performed, airborne transmission of the COVID-19 virus may be possible. The scientific community has been discussing whether the COVID-19 virus, might also spread through aerosols in the absence of aerosol generating procedures (AGPs). This is an area of active research. So far, air sampling in clinical settings where AGPs were not performed, found virus RNA in some studies (13-15) but not in others. (11, 12, 16) However, the presence of viral RNA is not the same as replication- and infectioncompetent (viable) virus that could be transmissible and capable of sufficient inoculum to initiate invasive infection. Furthermore, a small number of experimental studies conducted in aerobiology laboratories have found virus RNA (17) and viable virus (18), but these were experimentally induced AGPs where aerosols were generated using highpowered jet nebulizers and do not reflect normal human cough conditions. High quality research including randomized trials in multiple settings are required to address many of the acknowledged research gaps related to AGPs and airborne transmission of the COVID-19 virus.

Current evidence suggests that most transmission of COVID-19 is occurring from symptomatic people to others in close contact, when not wearing appropriate PPE. Among symptomatic patients, viral RNA can be detected in samples weeks after the onset of illness, but viable virus was not found after day 8 post onset of symptoms (19, 20) for mild patients, though this may be longer for severely ill patients. Prolonged RNA shedding, however, does not necessarily mean continued infectiousness. Transmissibility of the virus depends on the amount of viable virus being shed by a person, whether or not they are coughing and expelling more droplets, the type of contact they have with others, and what IPC measures are in place. Studies that investigate transmission should be interpreted bearing in mind the context in which they occurred.

There is also the possibility of transmission from people who are infected and shedding virus but have not yet developed symptoms; this is called pre-symptomatic transmission. The incubation period for COVID-19, which is the time between exposure to the virus and symptom onset, is on average 5-6 days, but can be as long as 14 days.(21, 22) Additionally, data suggest that some people can test positive for COVID-19, via polymerase chain reaction (PCR) testing 1-3 days before they develop symptoms.(23) Pre-symptomatic transmission is defined as the transmission of the COVID-19 virus from someone infected and shedding virus but who has not yet developed symptoms. People who develop symptoms appear to have higher viral loads on or just prior to the day of symptom onset, relative to later on in their infection.(24)

Some people infected with the COVID-19 virus do not ever develop any symptoms, although they can shed virus which may then be transmitted to others. One recent systematic review found that the proportion of asymptomatic cases ranged from 6% to 41%, with a pooled estimate of 16%

(12%-20%),(25) although most studies included in this review have important limitations of poor reporting of symptoms, or did not properly define which symptoms they were investigating. Viable virus has been isolated from of pre-symptomatic and asymptomatic specimens individuals, suggesting, therefore, that people who do not have symptoms may be able transmit the virus to others.(26) Comprehensive studies on transmission from asymptomatic individuals are difficult to conduct, but the available evidence from contact tracing reported by Member States suggests that asymptomatically-infected individuals are much less likely to transmit the virus than those who develop symptoms.

Among the available published studies, some have described occurrences of transmission from people who did not have For symptoms.(21,25-32) example, among asymptomatically-infected individuals studied in China, there was evidence that 9 (14%) infected another person.(31) Furthermore, among two studies which carefully investigated secondary transmission from cases to contacts, one found no secondary transmission among 91 contacts of 9 asymptomatic cases,(33) while the other reported that 6.4% of cases were attributable to pre-symptomatic transmission.(32) The available data, to date, on onward infection from cases without symptoms comes from a limited number of studies with small samples that are subject to possible recall bias and for which fomite transmission cannot be ruled out.

Guidance on the use of masks in health care settings (including long-term care and residential facilities)

Use of medical masks and respirators to provide care to suspected or confirmed COVID-19 patients

This section provides evidence- and consensus-based guidance on the use of medical masks and respirators by health workers providing direct care to COVID-19 patients.

#### Definitions

Medical masks are defined as surgical or procedure masks that are flat or pleated; they are affixed to the head with straps that go around the ears or head or both. Their performance characteristics are tested according to a set of standardized test methods (ASTM F2100, EN 14683, or equivalent) that aim to balance high filtration, adequate breathability and optionally, fluid penetration resistance.(34, 35)

Filtering facepiece respirators (FFR), or respirators, similarly offer a balance of filtration and breathability; however, whereas medical masks filter 3 micrometre droplets, respirators must filter more challenging 0.075 micrometre solid particles. European FFRs, according to standard EN 149, at FFP2 performance filter at least 94% solid NaCl particles and oil droplets, and US N95 FFRs, according to NIOSH 42 CFR Part 84, filter at least 95% NaCl particles. Certified FFRs must also ensure unhindered breathing with maximum resistances during inhalation and exhalation. Another important difference is the way filtration is tested; medical mask filtration tests are performed on a crosssection of the masks whereas FFRs are tested for filtration across the entire surface. Therefore, the layers of the filtration material and the FFR shape, ensuring outer edges of the FFR seal around wearer's face, result in a guaranteed claimed filtration when worn compared to the open shape, or leaking structure, of medical masks. Other FFR performance requirements include being within specified parameters for maximum CO2 build up, total inward leakage and tensile strength of straps.(36, 37)

Advice on the use of masks in the context of COVID-19: Interim guidance

#### Available evidence

WHO's guidance on the type of respiratory protection to be worn by health workers providing direct care to COVID-19 patients is based on 1) WHO guidelines recommendations on IPC of epidemic- and pandemic-prone acute respiratory infections in health care;(1) 2) updated systematic reviews of randomized controlled trials on the effectiveness of medical masks compared to that of respirators on the risk of: clinical respiratory illness, influenza-like illness (ILI) and laboratory-confirmed influenza or viral infections. The WHO guidance is similar to recent guidelines of other professional organizations (the European Society of Intensive Care Medicine and the Society of Critical Care Medicine, and the Infectious Diseases Society of America).(38, 39)

Meta-analyses in systematic literature reviews have reported that the use of N95 respirators compared with the use of medical masks is not associated with any statistically significant lower risk of the clinical respiratory illness outcomes or laboratory-confirmed influenza or viral infections.(40, 41) Low-certainty evidence from a systematic review of observational studies related to betacoronaviruses that cause severe acute respiratory syndrome (SARS), Middle East respiratory syndrome (MERS) and COVID-19 showed that the use of face protection (including respirators and medical masks) results in a large reduction in risk of infection among health workers; N95 or similar respirators might be associated with greater reduction in risk than medical or 12-16-layer cotton masks), but the studies had important limitations (recall bias, limited information about the situations when respirators were used and about measurement of exposures) and most were conducted in settings in which AGPs were performed.(42)

WHO continues gathering scientific data and evidence on the effectiveness of different masks use and on its potential harms, risks and disadvantages, as well as its combination with hand hygiene, physical distancing and other IPC measures.

#### Recommendations

The WHO COVID-19 IPC GDG considered all available evidence on the COVID-19 virus modes of transmission and on medical mask versus respirator use to protect health workers from infection, its level of certainty, as well as the potential benefits and harms, such as development of facial skin lesions, irritant dermatitis or worsening acne, or breathing difficulties that are more frequent with respirators.(43, 44)

The GDG also considered the implications of maintaining or changing the current recommendations, in terms of availability of medical masks versus respirators, cost and procurement implications, feasibility, equity of access to these respiratory protections by health workers around the world. The GDG acknowledged that in general, health

workers have strong preferences regarding highest perceived protection possible to prevent COVID-19 infection and, therefore, place high value on the potential benefits of respirators in settings without AGPs, despite demonstration of equivalence of effectiveness compared to medical masks in some studies and low certainty of the evidence suggesting their greater risk reduction in others.

#### **Definitions**

Universal masking in health facilities is defined as the requirement to wear a mask by all health workers and anyone entering the facility, no matter what activities are undertaken (discussed with COVID-19 IPC GDG).

Targeted continuous medical mask use is defined here as the practice of wearing a medical mask by all health workers and caregivers working in clinical areas during all routine activities throughout the entire shift. In this context, masks are only changed if they become soiled, wet or damaged, or if the health worker/caregiver removes the mask (e.g. for eating or drinking or caring for a patient who requires droplet/contact precautions for other reasons) (discussed with COVID-19 IPC GDG).

Health workers are all people primarily engaged in actions with the primary intent of enhancing health. Examples are: Nursing and midwifery professionals, doctors, cleaners, other staff who work in health facilities, social workers, and community health workers, etc. (46)

In conclusion, the great majority of the GDG members confirmed previous recommendations issued by WHO which include that:

- in the absence of AGPs<sup>2</sup>, WHO recommends that health workers providing direct care to COVID-19 patients, should wear a medical mask (in addition to other PPE that are part of droplet and contact precautions);
- in care settings for COVID-19 patients where AGPs are performed (e.g. COVID-19 intensive and semiintensive care units), WHO recommends that health workers should wear a respirator (N95 or FFP2 or FFP3 standard, or equivalent).

Note: Respirators are recommended for settings where AGPs are performed. Based on values and preferences and if widely available, they could also be used when providing direct care to COVID-19 patients in other settings. For additional guidance on PPE, including PPE beyond mask use by health workers, see WHO IPC guidance during health care when COVID-19 infection is suspected (2) and also WHO guidance on the rational use of PPE.(45)

bronchoscopy, sputum induction induced by using nebulized hypertonic saline, and autopsy procedures.

<sup>&</sup>lt;sup>2</sup> The WHO list of AGPs includes: tracheal intubation, non-invasive ventilation, tracheotomy, cardiopulmonary resuscitation, manual ventilation before intubation,

# Targeted continuous medical mask use by health workers in areas of known or suspected COVID-19 community transmission

This section considers the continuous use of medical masks by health workers and caregivers in areas of known or suspected community transmission regardless of whether direct care to COVID-19 patients is being provided.

#### Available evidence

In areas where there is community transmission or large-scale outbreaks of COVID-19, universal masking has been adopted in many hospitals to reduce the potential of (asymptomatic, pre-symptomatic and symptomatic) transmission by health workers and anyone entering the facility with COVID-19 to other health workers and to patients.(47)

There are currently no studies that have evaluated the effectiveness and potential adverse effects of universal or targeted continuous mask use by health workers in preventing transmission of SARS-CoV-2. Despite the lack of evidence the great majority of the WHO COVID-19 IPC GDG members supports the practice of health workers and caregivers in clinical areas (irrespective of whether there are COVID-19 or other patients in the clinical areas) in geographic settings where there is known or suspected community transmission of COVID-19, to continuously wear a medical mask throughout their shift, apart from when eating and drinking or changing the mask after caring for a patient requiring droplet/contact precautions for other reasons (e.g., influenza), to avoid any possibility of cross-transmission.

This practice reflects the strong preferences and values placed on preventing potential COVID-19 infections in health workers and in non-COVID-19 patients; these preferences and values may outweigh both the potential discomfort and other negative consequences of continuously wearing a medical mask throughout their shift and the current lack of evidence.

Note: Decision makers should consider the transmission intensity in the catchment area of the health facility and the feasibility of implementing a policy of continuous mask use for all health workers compared to a policy based on assessed or presumed exposure risk. Either way, procurement and costs should be taken into account and planned. When planning masks for all health workers, long-term availability of medical masks for all workers should be ensured, in particular for those providing care to confirmed or suspected COVID-19 patients.

#### Guidance

In the context of locations/areas with known or suspected community transmission or intense outbreaks of COVID-19, WHO provides the following guidance:

- Health workers, including community health workers and caregivers, who work in clinical areas should continuously wear a medical mask during their routine activities throughout the entire shift; apart from when eating and drinking and changing their medical mask after caring for a patient who requires droplet/contact precautions for other reasons;
- According to expert opinion, it is particularly important to adopt the continuous use of masks in potential higher

transmission risk areas including triage, family physician/GP practices, outpatient departments, emergency rooms, COVID-19 specified units, haematological, cancer, transplant units, long-term health and residential facilities;

- When using medical masks throughout the entire shift, health workers should make sure that:
  - the medical mask is changed when wet, soiled, or damaged;
  - the medical mask is not touched to adjust it or displaced from the face for any reason; if this happens, the mask should be safely removed and replaced; and hand hygiene performed;
  - the medical mask (as well as other personal protective equipment) is discarded and changed after caring for any patient on contact/droplet precautions for other pathogens;
- Staff who do not work in clinical areas do not need to use a medical mask during routine activities (e.g., administrative staff);
- Masks should not be shared between health workers and should be appropriately disposed of whenever removed and not reused;
- A particulate respirator at least as protective as a US National Institute for Occupational Safety and Health-certified N95, N99, US FDA surgical N95, European Union standard FFP2 or FFP3, or equivalent, should be worn in settings for COVID-19 patients where AGPs are performed (see WHO recommendations above). In these settings, this includes its continuous use by health workers throughout the entire shift, when this policy is implemented.

To be fully effective, continuous wearing of a medical mask by health workers, throughout their entire shift, should be implemented along with other measures to reinforce frequent hand hygiene and physical distancing among health workers in shared and crowded places where mask use may be unfeasible such as cafeterias, dressing rooms, etc.

The following **potential harms and risks** should be carefully taken into account when adopting this approach of targeted continuous medical mask use, including:

- self-contamination due to the manipulation of the mask by contaminated hands; (48, 49)
- potential self-contamination that can occur if medical masks are not changed when wet, soiled or damaged;
- possible development of facial skin lesions, irritant dermatitis or worsening acne, when used frequently for long hours(43, 44, 50)
- masks may be uncomfortable to wear; (41, 51)
- false sense of security, leading to potentially less adherence to well recognized preventive measures such as physical distancing and hand hygiene;
- risk of droplet transmission and of splashes to the eyes, if mask wearing is not combined with eye protection;
- disadvantages for or difficulty wearing them by specific vulnerable populations such as those with mental health disorders, developmental disabilities, the deaf and hard of hearing community, and children;
- difficulty wearing them in hot and humid environments.

Table 1. Type of mask for use by health workers depending on transmission scenario, setting and activity\*

COVID-19 Transmission scenario	Who	Setting	Activity	What type of mask*
Known or suspected community transmission	Health worker or caregiver	Health facility (including primary, secondary, tertiary care levels, outpatient care, and LTCF)	In patient care area – irrespective if patients are COVID-19 suspect/confirmed	Medical mask (targeted continuous medical masking)
	Personnel (working in health care facilities but not providing care for patients, e.g. administrative staff)	Health care facility (including primary, secondary, tertiary care levels, outpatient care, and LTCF)	No routine activities in patient areas	Medical mask not needed. Medical mask should be considered only if in contact or within 1m of patients, or according to local risk assessment.
	Health worker	Home visit (for example, for antenatal or postnatal care, or for a chronic condition)	When in direct contact or when a distance of at least 1m cannot be maintained.	Consider using a medical mask
	Health worker	Community	Community outreach programs	Consider using a medical mask
Sporadic transmission or clusters of COVID- 19 cases	Health worker or caregiver	Health care facility (including primary, secondary, tertiary care levels, outpatient care, and LTCF)	Providing any patient care	Medical mask use according to standard and transmission-based precautions (risk assessment)
	Health worker	Community	Community outreach programs	No mask needed
Any transmission scenario	Health worker or caregiver	Health care facility (including primary, secondary, tertiary care levels, outpatient care, and LTCF)	When in contact with suspect or confirmed COVID-19 patient	Medical mask
	Health worker	Health care facility (including LTCF), in settings where aerosol generating procedures (AGP) are performed	Performing an AGP on a suspected or confirmed COVID-19 patient or providing care in a setting where AGPs are in place for COVID-19 patients.	Respirator (N95 or N99 or FFP2 or FFP3)
	Health worker or caregiver	Home care	When in close contact or when a distance of at least 1 m cannot be maintained from a suspect or confirmed COVID-19 patient	Medical mask

<sup>\*</sup>This table refers only to the use of medical masks and respirators. The use of medical masks and respirators may need to be combined with other personal protective equipment and other measures as appropriate, and always with hand hygiene.

#### Alternatives to medical masks in health facilities:

In the context of severe medical mask shortage, face shields may be considered as an alternative. The use of cloth masks (referred to as fabric masks in this document) as an alternative to medical masks is not considered appropriate for protection of health workers based on limited available evidence. One study that evaluated the use of cloth masks in a health care facility found that health care workers using cotton cloth masks were at increased risk of influenza like illness compared with those who wore medical masks.(52)

As for other PPE items, if production of cloth masks for use in health care settings is proposed locally in situations of shortage or stock out, a local authority should assess the proposed PPE according to specific minimum standards and technical specifications.

#### Additional considerations for community care settings:

Community health workers should use standard precautions for all patients at all times, with particular emphasis regarding hand and respiratory hygiene, surface and environmental cleaning and disinfection, and the appropriate use of personal protective equipment. Additional IPC measures that are needed will depend on the local COVID-19 transmission dynamics and the type of contact required by the health care activity. Furthermore, the community health workforce should ensure that patients and workforce members apply respiratory hygiene, and physical distancing of at least 1 metre (3.3 feet). They also may support set-up, community education and maintenance of hand hygiene stations.(53) When conducting screening activities (e.g., conducting interviews), no mask is needed if a distance of at least 1 metre (3.3 feet) can be maintained and there is no direct contact with patients.(42, 53) In the context of known or suspected

community transmission, consider additional precautions, including the wearing of a medical mask, when community health workers provide essential routine services (Table 2).

When a patient is suspected or confirmed to have COVID-19 infection, community health workers should use contact and droplet precautions. Contact and droplet precautions include the use of a medical mask, gown, gloves and eye protection.(53)

Guidance on the use of masks for the general public

#### Available evidence

Studies of influenza, influenza-like illness, and human coronaviruses (not including COVID-19) provide evidence that the use of a medical mask can prevent the spread of infectious droplets from a symptomatic infected person (source control) to someone else and potential contamination of the environment by these droplets.(54, 55) There is limited evidence that wearing a medical mask by healthy individuals in households, in particular those who share a house with a sick person, or among attendees of mass gatherings may be beneficial as a measure preventing transmission.(41, 56-61) A recent meta-analysis of these observational studies, with the intrinsic biases of observational data, showed that either disposable surgical masks or reusable 12–16-layer cotton masks were associated with protection of healthy individuals within households and among contacts of cases.(42)

This could be considered to be indirect evidence for the use of masks (medical or other) by healthy individuals in the wider community; however, these studies suggest that such individuals would need to be in close proximity to an infected person in a household or at a mass gathering where physical distancing cannot be achieved, to become infected with the virus.

Results from cluster randomized controlled trials on the use of masks among young adults living in university residences in the United States of America indicate that face masks may reduce the rate of influenza-like illness, but showed no impact on risk of laboratory-confirmed influenza.(62, 63) At present, there is no direct evidence (from studies on COVID-19 and in healthy people in the community) on the effectiveness of universal masking of healthy people in the community to prevent infection with respiratory viruses, including COVID-19.

WHO regularly monitors all emerging evidence about this important topic and will provide updates as more information becomes available.

#### Guidance

- WHO recommends that persons with any symptoms suggestive of COVID-19 should (1, 2):
  - wear a medical mask, self-isolate, and seek medical advice as soon as they start to feel unwell with potential symptoms of COVID-19, even if symptoms are mild. Symptoms can include: fever, cough, fatigue, loss of appetite, shortness of breath and muscle pain. Other non-specific symptoms such as sore throat, nasal congestion, headache, diarrhoea, nausea and vomiting, have also been reported. Loss of smell and taste preceding the onset of respiratory symptoms have also been

reported.(64, 65) Older people and immunosuppressed patients may present with atypical symptoms such as fatigue, reduced alertness, reduced mobility, diarrhoea, loss of appetite, delirium, and absence of fever.(26, 66, 67) It is important to note that early symptoms for some people infected with COVID-19 may be very mild and unspecific;

- follow instructions on how to put on, take off, and dispose of medical masks and perform hand hygiene;(68)
- follow all additional measures, in particular respiratory hygiene, frequent hand hygiene and maintaining physical distance of at least 1 metre (3.3 feet) from other persons. (42)

In the context of the COVID-19 pandemic, it is recommended that all persons, regardless of whether they are using masks or not, should:

- avoid groups of people and crowded spaces (follow local advice);
- maintain physical distance of at least 1 metre (3.3 feet) from other persons, especially from those with respiratory symptoms (e.g. coughing, sneezing);
- perform hand hygiene frequently, using an alcoholbased handrub if hands are not visibly dirty or soap and water;
- use respiratory hygiene i.e. cover their nose and mouth with a bent elbow or paper tissue when coughing or sneezing, dispose of the tissue immediately after use, and perform hand hygiene;
- refrain from touching their mouth, nose, and eyes.

#### Advice to decision makers on the use of masks for the general public

Many countries have recommended the use of fabric masks/face coverings for the general public. At the present time, the widespread use of masks by healthy people in the community setting is not yet supported by high quality or direct scientific evidence and there are potential benefits and harms to consider (see below).

However, taking into account the available studies evaluating pre- and asymptomatic transmission, a growing compendium of observational evidence on the use of masks by the general public in several countries, individual values and preferences, as well as the difficulty of physical distancing in many contexts, WHO has updated its guidance to advise that to prevent COVID-19 transmission effectively in areas of community transmission, governments should encourage the general public to wear masks in specific situations and settings as part of a comprehensive approach to suppress SARS-CoV-2 transmission (Table 2).

WHO advises decision makers to apply a risk-based approach focusing on the following criteria when considering or encouraging the use of masks for the general public:

 Purpose of mask use: if the intention is preventing the infected wearer transmitting the virus to others (that is, source control) and/or to offer protection to the healthy wearer against infection (that is, prevention).

- 2. Risk of exposure to the COVID-19 virus
  - due to epidemiology and intensity of transmission in the population: if there is community transmission and there is limited or no capacity to implement other containment measures such as contact tracing, ability to carry out testing and isolate and care for suspected and confirmed cases.
  - depending on occupation: e.g., individuals working in close contact with the public (e.g., social workers, personal support workers, cashiers).
- 3. Vulnerability of the mask wearer/population: for example, medical masks could be used by older people, immunocompromised patients and people with comorbidities, such as cardiovascular disease or diabetes mellitus, chronic lung disease, cancer and cerebrovascular disease.(69)
- Setting in which the population lives: settings with high population density (e.g. refugee camps, camp-like settings, those living in cramped conditions) and settings

- where individuals are unable to keep a physical distance of at least 1 metre (3.3 feet) (e.g. public transportation).
- Feasibility: availability and costs of masks, access to clean water to wash non-medical masks, and ability of mask wearers to tolerate adverse effects of wearing a mask.
- 6. Type of mask: medical mask versus non-medical mask

Based on these criteria, Table 2 provides practical examples of situations where the general public should be encouraged to wear a mask and it indicates specific target populations and the type of mask to be used according to its purpose. The decision of governments and local jurisdictions whether to recommend or make mandatory the use of masks should be based on the above criteria, and on the local context, culture, availability of masks, resources required, and preferences of the population.

Table 2. Examples of where the general public should be encouraged to use medical and non-medical masks in areas with known or suspected community transmission

Situations/settings	Population	Purpose of mask use	Type of mask to consider wearing if recommended locally
Areas with known or suspected widespread transmission and limited or no capacity to implement other containment measures such as physical distancing, contact tracing, appropriate testing, isolation and care for suspected and confirmed cases.	General population in public settings, such as grocery stores, at work, social gatherings, mass gatherings, closed settings, including schools, churches, mosques, etc.	Potential benefit for source control	Non-medical mask
Settings with high population density where physical distancing cannot be achieved; surveillance and testing capacity, and isolation and quarantine facilities are limited	People living in cramped conditions, and specific settings such as refugee camps, camp-like settings, slums	Potential benefit for source control	Non-medical mask
Settings where a physical distancing cannot be achieved (close contact)	General public on transportation (e.g., on a bus, plane, trains)  Specific working conditions which places the employee in close contact or potential close contact with others e.g., social workers, cashiers, servers	Potential benefit for source control	Non-medical mask
Settings where physical distancing cannot be achieved and increased risk of infection and/or negative outcomes	Vulnerable populations:     People aged ≥60 years     People with underlying comorbidities, such as cardiovascular disease or diabetes mellitus, chronic lung disease, cancer, cerebrovascular disease, immunosuppression	Protection	Medical mask
Any setting in the community*	Persons with any symptoms suggestive of COVID-19	Source control	Medical mask

<sup>\*</sup>This applies to any transmission scenario

#### Potential benefits/advantages

The likely advantages of the use of masks by healthy people in the general public include:

- reduced potential exposure risk from infected persons before they develop symptoms;
- reduced potential stigmatization of individuals wearing masks to prevent infecting others (source control) or of people caring for COVID-19 patients in non-clinical settings;(70)
- making people feel they can play a role in contributing to stopping spread of the virus;

- reminding people to be compliant with other measures (e.g., hand hygiene, not touching nose and mouth).
   However, this can also have the reverse effect (see below);
- potential social and economic benefits. Amidst the global shortage of surgical masks and PPE, encouraging the public to create their own fabric masks may promote individual enterprise and community integration. Moreover, the production of non-medical masks may offer a source of income for those able to manufacture masks within their communities. Fabric masks can also be a form of cultural expression, encouraging public acceptance of protection measures in general. The safe re-use of fabric masks will also reduce costs and waste and contribute to sustainability.

#### Potential harms/disadvantages

The likely disadvantages of the use of mask by healthy people in the general public include:

- potential increased risk of self-contamination due to the manipulation of a face mask and subsequently touching eyes with contaminated hands; (48, 49)
- potential self-contamination that can occur if nonmedical masks are not changed when wet or soiled. This can create favourable conditions for microorganism to amplify;
- potential headache and/or breathing difficulties, depending on type of mask used;
- potential development of facial skin lesions, irritant dermatitis or worsening acne, when used frequently for long hours;(50)
- · difficulty with communicating clearly;
- potential discomfort;(41, 51)
- a false sense of security, leading to potentially lower adherence to other critical preventive measures such as physical distancing and hand hygiene;
- poor compliance with mask wearing, in particular by young children;
- waste management issues; improper mask disposal leading to increased litter in public places, risk of contamination to street cleaners and environment hazard;
- difficulty communicating for deaf persons who rely on lip reading;
- disadvantages for or difficulty wearing them, especially for children, developmentally challenged persons, those with mental illness, elderly persons with cognitive impairment, those with asthma or chronic respiratory or breathing problems, those who have had facial trauma or recent oral maxillofacial surgery, and those living in hot and humid environments.

If masks are recommended for the general public, the decision-maker should:

- clearly communicate the purpose of wearing a mask, where, when, how and what type of mask should be worn.
   Explain what wearing a mask may achieve and what it will not achieve, and communicate clearly that this is one part of a package of measures along with hand hygiene, physical distancing and other measures that are all necessary and all reinforce each other;
- inform/train people on when and how to use masks safely (see mask management and maintenance sections), i.e. put on, wear, remove, clean and dispose;

- consider the feasibility of use, supply/access issues, social and psychological acceptance (of both wearing and not wearing different types of masks in different contexts);
- continue gathering scientific data and evidence on the effectiveness of mask use (including different types and makes as well as other face covers such as scarves) in non-health care settings;
- evaluate the impact (positive, neutral or negative) of using masks in the general population (including behavioral and social sciences).

WHO encourages countries and community adopting policies on masks use in the general public to conduct good quality research to assess the effectiveness of this intervention to prevent and control transmission.

#### 3) Types of mask to consider

#### Medical mask

Medical masks should be certified according to international or national standards to ensure they offer predictable product performance when used by health workers, according to the risk and type of procedure performed in a health care setting. Designed for single use, a medical mask's initial filtration (at least 95% droplet filtration), breathability and, if required, fluid resistance are attributed to the type (e.g. spunbond or meltblown) and layers of manufactured non-woven materials (e.g. polypropylene, polyethylene or cellulose). Medical masks are rectangular in shape and comprise three or four layers. Each layer consists of fine to very fine fibres. These masks are tested for their ability to block droplets (3 micrometres in size; EN 14683 and ASTM F2100 standards) and particles (0.1 micrometre in size; ASTM F2100 standard only). The masks must block droplets and particles while at the same time they must also be breathable by allowing air to pass. Medical masks are regulated medical devices and categorized as PPE.

The use of medical masks in the community may divert this critical resource from the health workers and others who need them the most. In settings where medical masks are in short supply, medical masks should be reserved for health workers and at-risk individuals when indicated.

#### Non-medical mask

Non-medical (also referred to as "fabric" in this document) masks are made from a variety of woven and non-woven fabrics, such as polypropylene. Non-medical masks may be made of different combinations of fabrics, layering sequences and available in diverse shapes. Few of these combinations have been systematically evaluated and there is no single design, choice of material, layering or shape among the non-medical masks that are available. The unlimited combination of fabrics and materials results in variable filtration and breathability.

A non-medical mask is neither a medical device nor personal protective equipment. However, a non-medical mask standard has been developed by the French Standardization Association (AFNOR Group) to define minimum performance in terms of filtration (minimum 70% solid particle filtration or droplet filtration) and breathability (maximum pressure difference of 0.6 mbar/cm<sup>2</sup> or maximum

inhalation resistance of 2.4 mbar and maximum exhalation resistance of 3 mbar).(71)

The lower filtration and breathability standardized requirements, and overall expected performance, indicate that the use of non-medical masks, made of woven fabrics such as cloth, and/or non-woven fabrics, should only be considered for source control (used by infected persons) in community settings and not for prevention. They can be used ad-hoc for specific activities (e.g., while on public transport when physical distancing cannot be maintained), and their use should always be accompanied by frequent hand hygiene and physical distancing.

Decision makers advising on type of non-medical mask should take into consideration the following features of non-medical masks: filtration efficiency (FE), or filtration, breathability, number and combination of material used, shape, coating and maintenance.

 a) Type of materials: filtration efficiency (FE), breathability of single layers of materials, filter quality factor

The selection of material is an important first step as the filtration (barrier) and breathability varies depending on the fabric. Filtration efficiency is dependent on the tightness of the weave, fibre or thread diameter, and, in the case of non-woven materials, the manufacturing process (spunbond, meltblown, electrostatic charging).(49, 72) The filtration of

cloth fabrics and masks has been shown to vary between 0.7% and 60%.(73, 74) The higher the filtration efficiency the more of a barrier provided by the fabric.

Breathability is the ability to breathe through the material of the mask. Breathability is the difference in pressure across the mask and is reported in millibars (mbar) or Pascals (Pa) or, for an area of mask, over a square centimeter (mbar/cm² or Pa/cm²). Acceptable breathability of a medical mask should be below 49 Pa/cm². For non-medical masks, an acceptable pressure difference, over the whole mask, should be below 100 Pa.(73)

Depending on fabric used, filtration efficiency and breathability can complement or work against one another. Recent data indicate that two non-woven spunbond layers, the same material used for the external layers of disposable medical masks, offer adequate filtration and breathability. Commercial cotton fabric masks are in general very breathable but offer lower filtration.(75) The filter quality factor known as "Q" is a commonly used filtration quality factor; it is a function of filtration efficiency (filtration) and breathability, with higher values indicating better overall efficiency.(76) Table 3 shows FE, breathability and the filter quality factor, Q, of several fabrics and non-medial masks.(73, 77) According to expert consensus three (3) is the minimum Q factor recommended. This ranking serves as an initial guide only.

Table 3. Non-medical mask filtration efficiency, pressure drop and filter quality factor\*

Material	Source	Structure	Initial Filtration Efficiency (%)	Initial Pressure drop (Pa)	Filter quality factor, Q ** (kPa <sup>-1</sup> )
Polypropylene	Interfacing material, purchased as-is	Spunbond (Nonwoven)	6	1.6	16.9
Cotton 1	Clothing (T-shirt)	Woven	5	4.5	5.4
Cotton 2	Clothing (T-shirt)	Knit	21	14.5	7.4
Cotton 3	Clothing (Sweater)	Knit	26	17	7.6
Polyester	Clothing (Toddler wrap)	Knit	17	12.3	6.8
Cellulose	Tissue paper	Bonded	20	19	5.1
Cellulose	Paper towel	Bonded	. 10	11	4.3
Silk	Napkin	Woven	4	7.3	2.8
Cotton, gauze	N/A	Woven	0.7	6.5	0.47
Cotton, handkerchief	N/A	Woven	1.1	9.8	0.48
Nylon	Clothing (Exercise pants)	Woven	23	244	0.4

<sup>\*</sup> This table refers only to materials reported in experimental peer-reviewed studies. The filtration efficiency, pressure drop and Q factor are dependent on flow rate. \*\* According to expert consensus, three (3) is the minimum Q factor recommended.

It is preferable not to select elastic material for making masks; during wear, the mask material may be stretched over the face, resulting in increased pore size and lower filtration efficiency throughout use. Also, elastic materials may degrade over time and are sensitive to washing at high temperatures.

#### b) Number of layers

A minimum of three layers is required for non-medical masks, depending on the fabric used. The innermost layer of the mask is in contact with the wearer's face. The outermost layer is exposed to the environment.(78)

Fabric cloths (e.g., nylon blends and 100% polyester) when folded into two layers, provides 2-5 times increased filtration efficiency compared to a single layer of the same cloth,-and filtration efficiency increases 2-7 times if it is folded into 4 layers.(75) Masks made of cotton handkerchiefs alone should consist of at least 4 layers, but have achieved only 13% filtration efficiency.(73) Very porous materials, such as gauze, even with multiple layers will not provide sufficient filtration; only 3% filtration efficiency.(73)

It is important to note that with more tightly woven materials, as the number of layers increases, the breathability may be reduced. A quick check for breathability may be performed by attempting to breathe, through the mouth, and through the multiple layers.

#### c) Combination of material used

The ideal combination of material for non-medical masks should include three layers as follows: 1) an innermost layer of a hydrophilic material (e.g. cotton or cotton blends); 2), an outermost layer made of hydrophobic material (e.g., polypropylene, polyester, or their blends) which may limit external contamination from penetration through to the wearer's nose and mouth; 3) a middle hydrophobic layer of synthetic non-woven material such as polyproplylene or a cotton layer which may enhance filtration or retain droplets.

#### d) Mask shape

Mask shapes include flat-fold or duckbill and are designed to fit closely over the nose, cheeks and chin of the wearer. When the edges of the mask are not close to the face and shift, for example, when speaking, internal/external air penetrates through the edges of the mask rather than being filtered through the fabric. Leaks where unfiltered air moves in and out of the mask may be attributed to the size and shape of the mask. (79)

It is important to ensure that the mask can be held in place comfortably with little adjustment using elastic bands or ties.

#### e) Coating of fabric

Coating the fabric with compounds like wax may increase the barrier and render the mask fluid resistant; however, such coatings may inadvertently completely block the pores and make the mask difficult to breathe through. In addition to decreased breathability unfiltered air may more likely escape the sides of the mask upon exhalation. Coating is therefore not recommended.

#### f) Mask maintenance

### Masks should only be used by one person and should not be shared.

All masks should be changed if wet or visibly soiled; a wet mask should not be worn for an extended period of time. Remove the mask without touching the front of the mask, do not touch the eyes or mouth after mask removal. Either discard the mask or place it in a sealable bag where it is kept until it can be washed and cleaned. Perform hand hygiene immediately afterwards.

Non-medical masks should be washed frequently and handled carefully, so as not to contaminate other items.

If the layers of fabrics look noticeably worn out, discard the mask.

Clothing fabrics used to make masks should be checked for the highest permitted washing temperature. If instructions for washing are indicated on the clothing label, verify if washing in warm or hot water is tolerated. Select washable fabrics that can be washed. Wash in warm hot water, 60°C, with soap or laundry detergent. Non-woven polypropylene (PP) spunbond may be washed at high temperatures, up to 125°C.(72) Natural fibres may resist high temperature washes and ironing. Wash the mask delicately (without too much friction, stretching or wringing) if nonwoven materials (e.g. spunbond) are used. The combination of non-woven PP spunbond and cotton can tolerate high temperatures; masks made of these combinations may be steamed or boiled.

Where hot water is not available, wash mask with soap/detergent at room temperature water, followed by either i) boiling mask for one minute OR ii) soak mask in 0.1% chlorine for one minute then thoroughly rinse mask with room temperature water, to avoid any toxic residual of chlorine.

WHO is collaborating with research and development partners and the scientific community engaged in textile engineering and fabric design to facilitate a better understanding of the effectiveness and efficiency of non-medical masks. WHO urges countries that have issued recommendations on the use of both medical and non-medical masks by healthy people in community settings to conduct research on this important topic. Such research needs to look at whether SARS-CoV-2 particles can be expelled through non-medical masks of poor quality worn by a person with symptoms of COVID-19 while that person is coughing, sneezing or speaking. Research is also needed on non-medical mask use by children and other medically challenging persons and settings as mentioned above.

Table 4 provides a summary of guidance and practical considerations on the composition, construction and management of non-medical masks.

Table 4. Summary guidance and practical considerations for non-medical mask production and management

#### Guidance and practical considerations

#### Fabric selection:

Choose materials that capture particles and droplets but remain easy to breathe through.

Avoid stretchy material for making masks as they provide lower filtration efficiency during use and are sensitive to washing at high temperatures.

Fabrics that can support high temperatures (60° or more) are preferable.

#### Construction:

A minimum of three layers is required, depending on the fabric used: an inner layer touching the mouth and an outer layer that is exposed to the environment.

Choose water-absorbing (hydrophilic) materials or fabrics for the internal layers, to readily absorb droplets, combined with an external synthetic material that does not easily absorb liquid (hydrophobic).

#### Mask management:

Masks should only be used by one person.

All masks should be changed if soiled or wet; a soiled or wet mask should not be worn for an extended period of time.

Non-medical masks should be washed frequently and handled carefully, so as not to contaminate other items.

Clothing fabrics used to make masks should be checked for the highest permitted washing temperature, which is indicated on the clothing label.

Non-woven polypropylene (PP) spunbond may be washed at high temperature, up to 140°C.

The combination of non-woven PP spunbond and cotton can tolerate high temperatures; masks made of these combinations may be steamed or boiled.

Where hot water is not available, wash mask with soap/detergent at room temperature water, followed by either i) boiling mask for one minute OR ii) soak mask in 0.1% chlorine for one minute then thoroughly rinse mask with room temperature water, to avoid any toxic residual of chlorine.

## Alternatives to non-medical masks for the general public

In the context of non-medical mask shortage, face shields may be considered as an alternative noting that they are inferior to mask with respect to prevention of droplet transmission. If face shields are to be used, ensure proper design to cover the sides of the face and below the chin. In addition, they may be easier to wear for individuals with limited compliance with medical masks (such as those with mental health disorders, developmental disabilities, deaf and hard of hearing community and children).

## Guidance on the use of medical masks for the care of COVID-19 patients at home

WHO provides guidance on how to care for patients with confirmed and suspected COVID-19 at home when care in a health facility or other residential setting is not possible.(4) Home care may be considered when inpatient care or isolation in non-traditional settings is unavailable or unsafe (e.g. capacity is limited and resources are unable to meet the demand for care services). If feasible, a trained health worker should conduct an assessment to verify whether the patient and the family are able to comply with recommended measures for home-care isolation (e.g. hand hygiene, respiratory hygiene, environmental cleaning, limitations on movement around or from the house) and to address safety concerns (e.g. accidental ingestion of and fire hazards associated with using alcohol-based handrubs). Specific IPC guidance for home care should be followed.(4)

## Persons with suspected COVID-19 or mild COVID-19 symptoms and no risk factors should:

- be isolated in a medical facility if confirmed, or selfisolate at home if isolation in a medical or other designated facility is not indicated or not possible;
- perform hand and respiratory hygiene frequently;
- keep a distance of at least 1 metre (3.3 feet) from other people;
- wear a medical mask as much as possible; the mask should be changed at least once daily. Persons who cannot tolerate a medical mask should rigorously apply respiratory hygiene (i.e. cover mouth and nose with a disposable paper tissue when coughing or sneezing and dispose of it immediately after use or use a bent elbow procedure and then perform hand hygiene);
- limit movement and minimize shared space;
- avoid contaminating surfaces with saliva, sputum or respiratory secretions;
- improve airflow and ventilation in their living space by opening windows and doors as much as possible;
- ensure adequate cleaning and disinfection of touch surfaces, near where the patient is being cared for, such as bedside tables, bedframes, and other bedroom furniture; electronic touchscreens, keyboards, and controls; and bathroom fixtures.

# Caregivers or those sharing living space with people with suspected COVID-19 or with mild COVID-19 symptoms should:

 perform hand hygiene according to the 5 Moments of Hand Hygiene, (80) using an alcohol-based handrub if hands are not visibly dirty or soap and water when hands are visibly dirty;

- keep a distance of at least 1 m from the affected person when possible;
- wear a medical mask when in the same room as the affected person;
- dispose of any material contaminated with respiratory secretions (disposable tissues) immediately after use and then perform hand hygiene;
- improve airflow and ventilation in the living space by opening windows as much as possible;
- ensure adequate cleaning and disinfection of touch surfaces in the patient's room, such as bedside tables, bedframes and other bedroom furniture; electronic touchscreens, keyboards, and controls; and bathroom fixtures.

#### Guidance on mask management

For any type of mask, appropriate use and disposal are essential to ensure that they are as effective as possible and to avoid any increase in transmission.

WHO offers the following guidance on the correct use of masks, derived from best practices in health care settings:

- perform hand hygiene before putting on the mask;
- place the mask carefully, ensuring it covers the mouth and nose, adjust to the nose bridge, and tie it securely to minimize any gaps between the face and the mask;
- avoid touching the mask while wearing it;
- remove the mask using the appropriate technique: do not touch the front of the mask but untie it from behind.
- after removal or whenever a used mask is inadvertently touched, clean hands with an alcohol-based handrub, or soap and water if hands are visibly dirty;
- replace masks as soon as they become damp with a new clean, dry mask;
- do not re-use single-use masks;
- discard single-use masks after each use and dispose of them immediately upon removal.

WHO continues to monitor the situation closely for any changes that may affect this interim guidance. Should any factors change, WHO will issue a further update. Otherwise, this interim guidance document will expire 2 years after the date of publication.

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### Acknowledgements

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 the WHO Health Emergencies Programme (WHE) Adhoc COVID-19 IPC Guidance Development Group (in alphabetical order):

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 the WHO Health Emergencies Programme (WHE) Adhoc Experts Advisory Panel for Infection Prevention and Control (IPC) Preparedness, Readiness and Response to COVID-19, and other international experts including (in alphabetical order):

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WHO reference number: WHO/2019-nCov/IPC Masks/2020.4

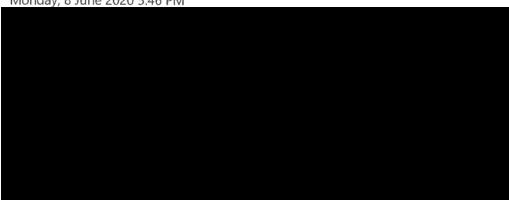
From:

Sent:

**AHPPC Secretariat** 

Monday, 8 June 2020 5:46 PM

To:



Subject: Attachments: FOR INFO: 20.06.08 AHPPC Emergency TC COVID 19 Outcomes 20.06.08 AHPPC Emergency TC COVID19 Outcomes.docx

**CAUTION:** This email originated from outside of the ACT Government. Do not click links or open attachments unless you recognise the sender and know the content is safe.

Dear Members,

Attached are the outcomes from today's meeting.

Kind regards,





Australian Health Protection Principal Committee (AHPPC) of the Australian Health Ministers' Advisory Council (AHMAC)

Office of Health Protection I Australian Government Department of Health

A: MDP 140, GPO Box 9848, CANBERRA ACT 2601, Australia

I acknowledge the traditional custodians of the lands and waters where we live and work, and pay my respects to elders past and present.

<sup>&</sup>quot;Important: This transmission is intended only for the use of the addressee and may contain confidential or legally privileged information. If you are not the intended recipient, you are notified that any use or dissemination of this communication is strictly prohibited. If you receive this transmission in error please notify the author immediately and delete all copies of this transmission."











From:

AHPPC Secretariat

Sent: To: Tuesday, 9 June 2020 4:47 PM



Subject:

URGENT ACTION: Please flag by 6pm if you have any issues with the attached

papers

Attachments:

UPDATED - Agenda Item 4 - AHPPC Paper - COVID-19 Principles for Phased Implementation of Stage 3.docx; UPDATED - Agenda Item 3 - AHPPC Paper -

Physical Distancing and the density rule.docx

**CAUTION:** This email originated from outside of the ACT Government. Do not click links or open attachments unless you recognise the sender and know the content is safe.

Due: 6pm 9 June 2020

**Action required:** Please review the attached two documents and flag by 6pm if there are any critical issues that have not been addressed.

Detail of the issues then be due to the Secretariat by 7.30am AEST tomorrow, 10 June 2020.

**Background:** The attached two documents were presented and discussed at today's meeting. Suggested amendments have been incorporated.

#### Attachments:

- 1. Updated Agenda Item 4 AHPPC Paper COVID 19 Principles for Phased Implementation of Stage 3
- 2. Updated Agenda Item 3 AHPPC Paper Physical Distancing and the density rule



Australian Health Protection Principal Committee (AHPPC)

of the Australian Health Ministers' Advisory Council (AHMAC)

Office of Health Protection | Australian Government Department of Health

A: MDP 140, GPO Box 9848, CANBERRA ACT 2601, Australia

I acknowledge the traditional custodians of the lands and waters where we live and work, and pay my respects to elders past and present.

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From:

AHPPC Secretariat

Sent: To:

Subject:

Wednesday, 10 June 2020 5:48 PM

NOTING: Papers on Principles for Implementation of Stage 3 & Physical Distancing

and Density Restrictions [SEC=OFFICIAL]

Attachments: National Cabinet Paper - COVID-19 - Principles for Implementation of Stage 3.docx;

National Cabinet Paper - COVID-19 - Physical Distancing and Density

Restrictions.docx

**CAUTION:** This email originated from outside of the ACT Government. Do not click links or open attachments unless you recognise the sender and know the content is safe.

Dear AHPPC members

For your information, please note the attached papers.

- Principles for Implementation of Stage 3
- Physical Distancing and Density Restrictions

Kind regards



### Australian Health Protection Principal Committee (AHPPC)

of the Australian Health Ministers' Advisory Council (AHMAC)

Office of Health Protection LAustralian Government Department of Health

A: MDP 140, GPO Box 9848, CANBERRA ACT 2601, Australia

I acknowledge the traditional custodians of the lands and waters where we live and work, and pay my respects to elders past and present.

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From:

McNeill, Laura (Health) on behalf of ACT Health Office of the Chief Health Officer

Sent:

Tuesday, 9 June 2020 3:12 PM

To:

Gwyn Rees

Cc:

ACT Health Office of the Chief Health Officer

Subject:

FW: Walk-Through of Clubs

#### UNCLASSIFIED

Dear Mr Rees,

The initial AHPPC advice on restricting non-essential gatherings, including clubs and gaming is available at <a href="https://www.health.gov.au/news/australian-health-protection-principal-committee-ahppc-coronavirus-covid-19-statement-on-22-march-2020-0">https://www.health.gov.au/news/australian-health-protection-principal-committee-ahppc-coronavirus-covid-19-statement-on-22-march-2020-0</a>

and

https://www.health.gov.au/news/australian-health-protection-principal-committee-ahppc-advice-to-national-cabinet-on-24-march-2020-0

Additionally, gaming venues are outlined as part of the Federal Government's 3 step Framework for a COVIDSafe Australia, which lists that bar areas and gaming rooms will be considered as part of Step 3. The Framework was developed based on the advice of AHPPC. <a href="https://www.health.gov.au/resources/publications/3-step-framework-for-a-covidsafe-australia">https://www.health.gov.au/resources/publications/3-step-framework-for-a-covidsafe-australia</a>

Please let me know if you need anything further.

Kind regards

Laura

#### Office of the Chief Health Officer

Public Health, Protection and Regulation | ACT Health Directorate 25 Mulley Street, Holder ACT 2611 health.act.gov.au



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From: Gwyn Rees ·

Sent: Friday, 5 June 2020 5:26 PM

To: Owen, Kimberly (Health) < Kimberly.Owen@act.gov.au>

Subject: RE: Walk-Through of Clubs

**CAUTION:** This email originated from outside of the ACT Government. Do not click links or open attachments unless you recognise the sender and know the content is safe.

#### Kimberly

Can you please steer me to the AHPCC advice as it relates to gambling. It is not available via any Federal or Local Government source I can establish.

Kind regards

Gwyn

From: Gwyn Rees

Sent: Friday, 5 June 2020 5:07 PM
To: 'Kimberly.Owen@act.gov.au'
Subject: RE: Walk-Through of Clubs

The original email was 15 May

From: Owen, Kimberly (Health) [mailto:Kimberly.Owen@act.gov.au] On Behalf Of ACT Health Office of the Chief

Health Officer

Sent: Friday, 5 June 2020 4:53 PM

To: Gwyn Rees

Subject: FW: Walk-Through of Clubs

### UNCLASSIFIED For-Official-Use-Only

Dear Gwyn,

Thank you for your email of 28 May 2020, and I apologise for the delay in responding.

The Australian Health Protection Principal Committee (AHPPC), the expert public health decision-making committee to the Australian government during the COVID-19 epidemic, has been advising the national cabinet on necessary public health control measures to reduce the transmission of COVID-19. These public health measures have been introduced by all Australian jurisdictions to reduce the transmission of COVID-19. The public health measures have unfortunately had significant impact on individuals and businesses across a range of industries. The ACT continues to follow the advice of AHPPC, and our easing of restrictions is currently aligned to most other jurisdictions. We understand that NSW is taking a slightly different approach from other jurisdictions in the mix of businesses opening and gathering limits, which has unfortunately led to confusion and frustration for some businesses.

Public health evidence tells us that the movement and gathering of people who do not know each other are the greatest risk to outbreaks of an infectious disease like COVID-19. In practical terms this means that the risk of disease spread increases as gathering size increases, and as there are more opportunities for contact between smaller defined social networks. Furthermore, there is the collective risk, at each point, associated with the reopening of multiple businesses and facilities and recommencing social and sporting activities. As we see people moving about their daily lives more freely, there is a cumulative effect of larger gatherings, multiple social networks crossing or coming together, and more interactions and co-mingling.

In addition, we need to look closely at our ability to contact trace and how we would respond if there was a new case, or a cluster of cases, as we do not want major outbreaks occurring – larger gatherings, particularly indoors, are more challenging for contact tracing.

These are the reasons why AHPPC, and myself, are advising that the easing of restrictions must happen in a gradual way. We also know from expert epidemiologists that a minimum of three to four weeks between stages is a good amount of time to wait because this is one to two full incubation periods for the disease – time that will give us the ability to detect increasing risk or cases if they exist.

Some business activities and services pose a slightly higher risk, such as where they involve groups of people (who don't routinely come into contact) coming together, people moving in and out of a business facility more often, there might be multiple surfaces people touch within a business (and therefore potential for spreading via these touch points), or there may be close contact between people as part of business activity. As a result, some businesses will continue to operate with restrictions that mitigate the public health risk for the time being. It's also why our plan has a number of check points along the way to assess and ensure the safety of the community before moving onto the next stage.

We are currently working through the details for further easing of restrictions for stage 2.2, if all remains well in terms of our risk, and as you may have seen on the Roadmap this will allow for certain businesses to increase numbers up to 50, with a COVIDsafe plan, if space allows (within the 1 person per 4 square metre rule).

Unfortunately, due to a range of competing demands in relation to the ongoing management of COVID-19, I am not in a position to do a walk through with you at this time. If there is any information that you wish to provide about the measures which clubs are taking or could take to minimise the risks within their own businesses, please feel free to share those with me, and these can be considered in the context of future considerations.

As we work to further ease restrictions over the next three to four weeks, in accordance with the ACT Roadmap, we will continue to monitor and assess the situation, and respond accordingly.

I hope this information assists. If there is any other specific public health advice or information that would assist you, please let me know.

Kind regards

### Dr Kerryn Coleman Chief Health Officer

#### Office of the Chief Health Officer

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From: Gwyn Rees

Sent: Thursday, May 28, 2020 5:15:18 PM

To: Coleman, Kerryn (Health) < Kerryn.Coleman@act.gov.au >

Cc: Springett, Emily < Emily. Springett@act.gov.au >; Arthy, Kareena < Kareena. Arthy@act.gov.au >

Subject: Walk-Through of Clubs

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### Good Evening All

I hope you are all well.

I would like to extend again an invitation to do a walk-through of clubs here and over the border given the activation of all clubs services and TAB facilities in Queanbeyan from 1 June. Mid-July remains a painfully long way away for many of my members and just over 70%, some 35+ clubs say they will remain closed until then.

That said, there is a lot to do in planning for reopening and I believe there is an opportunity for you to better understand the venues. It may well be this process will help address any concerns you may have in advance of stage 3.

I look forward to hearing from you.

Gwyn



A Proud Member of Clubs Australia

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